

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

| | |
|---|--|
| Federal Award Identification Number (FAIN) | WA-2021-021-00 |
| Temporary Application Number | 6986-2020-2 |
| Application Name | FY 2019 & FY 2020 Section 5311 TTP Formula for Operating Assistance and Indirect Costs |
| Application Status | Final Concurrence / Reservation |
| Application Budget Number | 0 |

| | |
|--|---------------------|
| Period of Performance Start Date | N/A |
| Original Period of Performance End Date | 9/1/2022 |
| Current Period of Performance End Date | N/A Revision #: N/A |

Part 1: Recipient Information

Name: Jamestown S'klallam Tribe

| Recipient ID | Recipient OST Type | Recipient Alias | Recipient DUNS |
|--------------|--------------------|---------------------------|----------------|
| 6986 | Indian Tribe | JAMESTOWN S'KLALLAM TRIBE | 103364097 |

| Location Type | Address | City | State | Zip |
|------------------|--------------------|--------|-------|-------|
| Headquarters | 1033 Old Blyn Hwy | Sequim | WA | 98382 |
| Physical Address | 1033 OLD BLYN HWY | SEQUIM | WA | 98382 |
| Mailing Address | 1033 OLD BLYN HWY. | SEQUIM | WA | 98382 |

Union Information

| | |
|-------------------|---|
| Union Name | AMALGAMATED TRANSIT UNION – LOCAL 587 – 2815 SECOND AVENUE, SUITE 230, |
| Address 1 | 2815 Second Avenue, Suite 230 |
| Address 2 | |

| | |
|--------------|------------------------------|
| City | Seattle |
| State | Washington |
| Zipcode | 98121 |
| Contact Name | Paul Bachtel |
| Telephone | 206448-858 |
| Fax | |
| E-mail | president.bachtel@atu587.com |
| Website | |

Part 2: Application Information

Title: FY 2019 & FY 2020 Section 5311 TTP Formula for Operating Assistance and Indirect Costs

| FAIN | Application Status | Application Type | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|------------------|--------------|-------------------|------------|
| WA-2021-021-00 | Final Concurrence / Reservation | Grant | 8/14/2020 | 8/14/2020 | No |

Application Executive Summary

This application includes \$12,728 in FY 2019, and \$12,169 in FY 2020, Section 5311 TTP Formula funds, for a total of \$24,897. The funding will be used for operating assistance for contracted transit service with Clallam Transit to operate Route #50, as well as project administration costs using a Federally approved indirect cost rate.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The DOI approved the Jamestown S'Klallam Tribes indirect cost rate on 11/21/2019

and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The approved rate(s) of 52.95% will be applied to the applicable activity line descriptions. This rate is applicable as of 2019. The 2020 proposal has been submitted to DOI and is pending approval.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|------------|---------------------------|-----------------------------------|----------------|-------|
| | mark.stojak@dot.gov | Transportation Program Specialist | | |
| | anesse@jamestowntribe.org | Chief Operations Officer | | |
| | scot.rastelli@dot.gov | Transportation Program Specialist | | |

Application Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|-----------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$24,897 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$24,897 |

Application Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|-------------|----------------|-----------------------|----------|
| WA-2021-021-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$24,897.00 | \$0.00 | \$24,897.00 | 0 |
| WA-2021-021-01-00 | 11.79.00 Project Administration (FY 2020 5311 TTP Formula 100:00)(00) | \$2,263.00 | \$0.00 | \$2,263.00 | 0 |

| | | | | | | |
|-------------------|----------|--|-------------|--------|-------------|---|
| WA-2021-021-01-00 | 30.09.01 | Operating Assistance (FY 2019 & FY 2020 5311 TTP Formula 100:00)(00) | \$22,634.00 | \$0.00 | \$22,634.00 | 0 |
|-------------------|----------|--|-------------|--------|-------------|---|

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY 2019 & FY 2020 Section 5311 TTP Formula for Operating and Indirect Costs

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|----------|
| WA-2021-021-01-00 | 6986-2020-2-P1 | 8/14/2020 | 1/1/2021 | 6/1/2022 |

Project Description

This project includes \$12,728 in FY 2019, and \$12,169 in FY 2020, Section 5311 TTP Formula funds, for a total of \$24,897. The funding is for operating assistance for contracted transit service with Clallam Transit to operate Route #50, as well as project administration costs using a Federally approved indirect cost rate.

Project Benefits

Provides and sustains reliable transportation options for the members of the Jamestown S'Klallam Tribe and the general public.

Additional Information

None provided.

Location Description

East Clallam County where the Jamestown S'Klallam Tribal reservation is located and the City of Sequim.

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|------------|
| 530000 | Washington |

Congressional District Information

| State | District | Representative |
|------------|----------|----------------|
| Washington | 6 | Derek Kilmer |

Program Plan Information

STIP/TIP

Date: Not Provided

Description: There is no STIP or TIP requirement under the Tribal Transit Formula Program (FTA C 9040.1G Page X-3, #10).

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|-----------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$24,897 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$24,897 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|-------------|----------------|-----------------------|----------|
| WA-2021-021-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$24,897.00 | \$0.00 | \$24,897.00 | 0 |
| WA-2021-021-01-00 | 11.79.00 Project Administration (FY 2020 5311 TTP Formula 100:00)(00) | \$2,263.00 | \$0.00 | \$2,263.00 | 0 |
| WA-2021-021-01-00 | 30.09.01 Operating Assistance (FY 2019 & FY 2020 5311 | \$22,634.00 | \$0.00 | \$22,634.00 | 0 |

TTP Formula
100:00)(00)

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - Operating Assistance (FY 2019 & FY 2020 5311 TTP Formula 100:00)(00)

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|-------------------------|----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 30.09.01 | UP TO 50% FEDERAL SHARE | OPERATING ASSISTANCE | 0 |

Extended Budget Description

This activity includes \$12,728 in FY 2019, and \$9,906 in FY 2020, Section 5311 TTP Formula funds, for a total of \$22,634. The funding is for operating assistance for contracted transit service with Clallam Transit to operate Route #50, for the time-period of 1/1/2021 to 6/1/2022. Route #50 serves the Jamestown S'Klallam tribal reservation in East Clallam County and brings tribal members and the general public into the Sequim Transit Center.

MATCH

Match ratio is 100:0 (federal / non-federal).

STIP

There is no STIP or TIP requirement under the Tribal Transit Formula Program (FTA C 9040.1G Page X-3, #10).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|-----------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$22,634 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$22,634 |

| Milestone Name | Est. Completion Date | Description |
|----------------------------|----------------------|-------------|
| Start Operating Assistance | 1/1/2021 | |

| | | |
|--------------------------|----------|--|
| End Operating Assistance | 6/1/2022 | |
|--------------------------|----------|--|

Budget Activity Line Item: 11.79.00 - Project Administration (FY 2020 5311 TTP Formula 100:00)(00)

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|------------------------|---------------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 11.79.00 | PROJECT ADMINISTRATION | OTHER CAPITAL ITEMS (BUS) | 0 |

Extended Budget Description

This activity includes \$2,263 in FY 2020 Section 5311 TTP Formula funds. The funding is for project administration costs, using a Federally approved indirect cost rate related to operating assistance for contracted transit service with Clallam Transit to operate Route #50, for the time-period of 1/1/2021 to 6/1/2022. Route #50 serves the Jamestown S'Klallam tribal reservation in East Clallam County and brings tribal members and the general public into the Sequim Transit Center.

MATCH
Match ratio is 100:0 (federal / non-federal).

STIP
There is no STIP or TIP requirement under the Tribal Transit Formula Program (FTA C 9040.1G Page X-3, #10).

Will 3rd Party contractors be used to fulfill this activity line item?
No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|----------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$2,263 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$2,263 |

| Milestone Name | Est. Completion Date | Description |
|----------------------|----------------------|-------------|
| Start Indirect Costs | 1/1/2021 | |
| End Indirect Costs | 6/1/2022 | |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date | Description | Date |
|------|-----------------------|------|
| | Class IIc CE Approved | |

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Mark Stojak

Comment Type DOL Review for Information

Date 3/22/2021

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT
(FTA G-26, October 1, 2019)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this

FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Jamestown S'klallam Tribe

Recipient ID: 6986

DUNS No: 103364097

Application Information

Federal Award Identification Number: WA-2021-021-00

Application Name: FY 2019 & FY 2020 Section 5311 TTP Formula for Operating Assistance and Indirect Costs

Application Start Date: N/A

Original Award End Date: 9/1/2022

Current Award End Date: N/A

Application Executive Summary: This application includes \$12,728 in FY 2019, and \$12,169 in FY 2020, Section 5311 TTP Formula funds, for a total of \$24,897. The funding will be used for operating assistance for contracted transit service with Clallam Transit to operate Route #50, as well as project administration costs using a Federally approved indirect cost rate.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$24,897.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$24,897.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$24,897.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|---------------------------|--------------------|-----------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$24,897 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$24,897 |

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Jamestown S'klallam Tribe